



Substitute for form 1449A/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Complete if Known

Application Number	09/945,184
Filing Date	August 31, 2001
First Named Inventor	Knight, Timothy
Group Art Unit	3628
Examiner Name	Siegfried E. Chencinski

Sheet 1 of 1

Attorney Docket No: 0006-003002

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EXAMINER

DATE CONSIDERED

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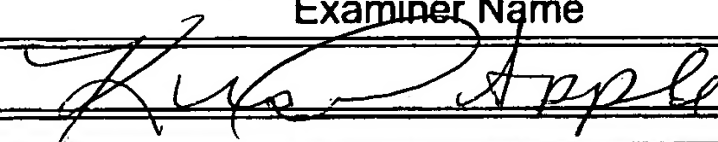
Substitute Disclosure Statement Form (PTO-1449)

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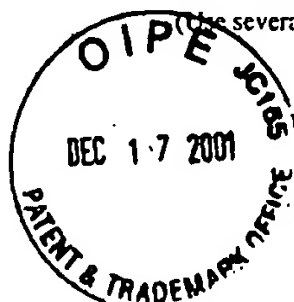
ELECTRONIC INFORMATION DISCLOSURE STATEMENT

Electronic Version v18
Stylesheet Version v18.0

Title of Invention	Stock trading application program						
Application Number:		09/945184					
Confirmation Number:		4257					
First Named Applicant:		Timothy Knight					
Attorney Docket Number:		PROP 98002C					
Examiner:		Jeffrey Pwu					
Search string:		(6031537 or 6363377).pn.					
				RECEIVED MAY 05 2003 GROUP 3600			
US Patent Documents							
Note: Applicant is not required to submit a paper copy of cited US Patent Documents							
init	Cite.No.	Patent No.	Date	Patentee	Kind	Class	Subclass
1A	1	6031537	2000-02-29	Hugh			
2A	2	6363377	2002-03-26	Kravets et al.			
Signature							
Examiner Name				Date			
				12/14/05			

Form PTO-1449
(REV. 7-92)U.S. DEPARTMENT OF COMMERCE
Patent and Trademark OfficeAttorney's Docket Number:
PROP 98002CSerial No.:
09/945,184**INFORMATION DISCLOSURE STATEMENT
BY APPLICANT**

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Applicant(s): Timothy Orr Knight

Filing Date: 8/31/01

Group Art Unit : 2173

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U.S. PATENT DOCUMENTS

*EXAMINER INITIAL	DOCUMENT NUMBER							DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
KSA	6	2	5	6	0	3	2	7/3/01	Hugh	345	357	1/19/00
KSA	6	2	0	8	9	8	5	3/27/01	Krehel	707	3	7/10/97
KSA	6	1	6	9	9	8	5	1/2/01	Almgren	707	4	5/29/98
KSA	6	1	3	3	9	1	4	10/17/00	Rogers et al.	345	334	1/7/98
KSA	6	0	9	4	6	4	8	7/25/00	Aalbersberg	707	3	9/3/97
KIA	6	0	2	3	2	7	3	2/8/00	Cox et al.	345	339	3/30/98
KSA	6	0	2	1	3	9	7	2/1/00	Jones et al.	705	36	12/2/97
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KIA		Fishkin, Ken et al., "Enhanced dynamic queries via movable filters," In Human Factors in Computing Systems (CHI '95 Proceedings), pp. 415-420. New York: ACM Press.

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	5	9	8	3	2	2	0	11/9/99	Schmitt	707	5	11/14/96
	5	9	6	6	1	2	6	10/12/99	Szabo	345	348	12/23/96
	5	9	3	3	8	3	0	8/3/99	Williams	707	100	5/9/97
	5	9	3	3	1	4	5	8/3/99	Meek	345	348	4/17/97
	5	9	1	8	2	1	7	6/29/99	Maggioncalda et al	705	36	12/10/97
	5	9	1	1	1	3	8	6/8/99	Li et al.	707	3	6/4/93

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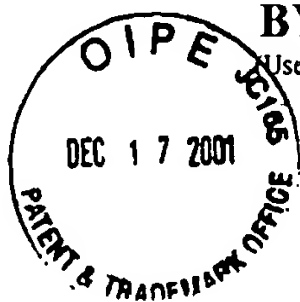
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KSP	5	8	4	1	4	3	7	11/24/98	Fishkin et al.	345	346	6/7/95
	5	8	3	8	3	1	7	11/17/98	Bolnick	345	339	6/30/95
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	5	7	6	1	6	5	6	6/2/98	Ben-Shachar	707	4	6/26/95
	5	7	5	1	2	8	6	5/12/98	Barber et al.	345	348	1/24/97
	5	7	2	1	9	0	0	2/24/98	Banning et al.	395	604	7/20/92
	5	6	6	8	9	6	6	9/16/97	Ono et al.	345	356	1/31/95
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OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

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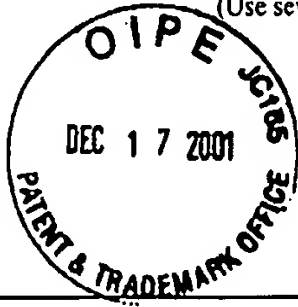
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KSA	5	5	1	5	4	8	8	5/7/96	Hoppe et al.	395	140	8/30/94
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	5	2	0	6	9	4	9	4/27/93	Cochran et al.	395	600	8/7/89
	5	1	7	5	8	1	4	12/29/92	Anick et al.	395	161	1/30/90

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	DOCUMENT NUMBER							DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

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